KROPOTKIN'S ETHICS AND THE PUBLIC GOOD

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Prince Peter Kropotkin, the communist-anarchist theorist, sought to place his political and ethical doctrine on a scientific basis. Specifically, Kropotkin sought to develop an ethic that was in accord with the theory of evolution and with the findings of biological science. Most important for Kropotkin was freeing ethics from the sanction of religion. But he also adhered to the methods of natural science in wanting to base ethical law on empirical data gained from observation of the life and activities of humans, rather than basing it, as he contended Kant had, solely on abstract reasoning.

In Kropotkin's pamphlet on Anarchist Morality, he applauded the empiricist philosophers of the 18th-century Enlightenment for rejecting religious interpretations of human action and adopting an account that made the quest of pleasure and avoidance of pain the source of human motivation. Kropotkin joined with Bentham, John Stuart Mill, and Chernichevsky in affirming that the desire for pleasure was the true motive of all human action. Kropotkin not only maintained that in their conscious, deliberative acts, human beings always seek out pleasure; he saw this motive operating throughout the organic world. Recognition of this truth, Kropotkin argued, placed ethics on a materialistic, naturalistic basis.

Furthermore, Kropotkin thought reliance on the findings of science and on evolutionary theory gave to ethics a philosophical certitude, in contrast to the uncertain instrumentalism on which transcendental philosophers like Kant relied.

Yet it should be noted that in Kropotkin's final work, Ethics, he wrote that Kant was correct to reject utility as a basis for ethics. Like Kant, Kropotkin pointed to the elevating character of morality. In fact, Kropotkin came to argue that the Russian revolution had gone astray primarily because "the lofty social ideal" of the early Russian revolutionaries had been superseded by "teachings of economic materialism emanating from Germany".

Kropotkin located the source of morality in a natural attribute of all animals: the instinct of sociability and mutual aid within a species. He described this instinct as having arisen via the transmission to subsequent generations of a habit developed in animals and humans to cope with the changing environment in which each species lived. Biologists today would almost unanimously reject Kropotkin's Lamarckian notion of the heritability of acquired characteristics. But the instinct of sociability and mutual aid can be explained in terms of standard Darwinian natural selection. According to this view, mutual aid behavior contributes to the survival of a set of genes.

The origin of moral sentiments, then, according to Kropotkin, lies in the appearance of the instinct of mutual aid. From then on, moral sentiments can be said to be the product of a moral sense that operates like the sense of smell or touch. Mutual aid becomes a permanent instinct, always present and developing in social animals (especially in humans) in the course of their evolution.

In Kropotkin's pamphlet, Anarchist Morality, he seemed to blend the instinct of mutual aid (aimed at preservation of the species) with the feeling of sympathy. He contended that Adam Smith had discovered the true origin of moral sentiments in sympathy.

However, in Kropotkin's Ethics, he modified his stance after re-reading Kant. Kropotkin did not throw out sympathy as a support for morality. But sympathy no longer had the decisive role it had in the theories of the Scottish
moralists and in Kropotkin's own earlier moral writings. In *Ethics*, Kropotkin agreed with Kant's demonstration that morality cannot be based solely on sympathy.\(^{14}\)

Instead, Kropotkin distinguished between sympathy and mutual aid. He described sympathy and mutual aid as elements in the moral makeup of human beings. But he recognized that even for a person with a well-developed sympathetic character, there would arise situations in which his sympathies were at war with his other natural tendencies.\(^{15}\)

In case such conflicts arose, what course was a moral person obligated to follow? Kropotkin said that obligation derives its force from the recognition by instinct and reason of the course to follow.\(^{16}\) Whereas Kropotkin says that Kant leaves his readers completely ignorant of the origin of the sense of duty, Kropotkin is able to point to the mutual aid instinct as the driving force behind the sense of duty. As social life gets more and more complex, reason plays an ever more important role in moral decision-making. But, for Kropotkin, reason is always in harness with instinct.\(^{17}\)

One of the dictates of reason is the human conception of justice.\(^{18}\) A sense of justice only develops once the foundations have been laid by the institutionalization of mutual aid in human society and the internalization of mutual aid (via Lamarckian processes) in human nature. The basic core of the concept of justice, according to Kropotkin, is equal rights or equality of self-restraint.\(^{19}\)

The sense of obligation to uphold justice stems not so much directly from instinct as from the rational recognition of necessity. Kropotkin adopts Hume's position that there are certain rules of action which are absolutely necessary, so long as one wishes to live in society.\(^{20}\) Equal rights are necessary to social life.

But Kropotkin means by *justice* not simply political and civic equity, but also economic equality. Hence he applauds the appearance of egalitarian thinkers and philosophers at the end of the 18th century and the beginning of the 19th century.\(^{21}\)

Kropotkin never provides a full-blown defense of equality as a political goal. However, we can discern some slight indications of four possible arguments about equality in Kropotkin's writings.

First, Kropotkin undertakes a criticism of the religious morality of aspiration. Such morality begins by postulating human equality and brotherhood. But either natural inequalities or original sin make full equality unattainable.\(^{22}\) It is still morally imperative that one share with the poor. But it is not possible to carry this to its logical conclusion. Such morality of aspiration rejects the rule of moral reasoning that *ought* implies *can*. One is left with a duty to be charitable that is based on reasoning that is acknowledged to be unsatisfactory by the proponents of the duty. Kropotkin is correct in pointing out the "deadly contradiction" here.\(^{23}\) But he has not thereby established the possibility or desirability of equality.

As part of this discussion of charity, Kropotkin is arguing that mercy and beneficence are not enough.\(^{24}\) Although he does not spell it out, Kropotkin is correct in saying that these sentiments are not enough to establish the justice of economic equality.

In a societal situation, mercy, charity, and generosity are dependent in practice (and dependent logically as concepts) upon the assignment of rights and entitlements. Mercy, for example, can only be the relinquishment to somebody of something to which one is justly entitled. One needs a rationally-defensible theory of justice in entitlements before one can say that some case is a case in which mercy could be exercised.

Since Kropotkin does not wish to develop a theory of entitlements more elaborate than the (incompatible) notions that everyone is entitled to equal shares and that everyone is entitled to his needs, Kropotkin does not dwell on charity or compassion.\(^ {25}\) (Proudhon, who unlike Kropotkin is an individualist-anarchist, stresses charity and generosity and shows that communism is essentially opposed to them.)\(^ {26}\) When Kropotkin criticizes Herbert Spencer, Kropotkin does so not on the basis that Spencer opposes charity (which in fact Spencer considers a second-order duty). Kropotkin contends that thefts by the powerful and economic exploitation by the capitalists have taken from
the poor what is due to them. Like Spencer, Kropotkin sees the validity of separating that which is rightfully due to people from that which may be given them out of beneficence. They simply disagree about what is due.\[^{30}\]

In a second discussion of equality, Kropotkin contends that we ourselves would want to be expropriated, if in some case we took material goods that fell like manna from heaven and used such goods for material gain:

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\text{We ourselves should ask to be dispossessed, if...} \]

The problem with Kropotkin's account is that goods do not fall like manna from heaven.\[^{28}\] Instead, goods have a history of relationships to human beings. Goods do not arrive magically on the scene. Instead, goods were originally taken under someone's control somehow and then perhaps transferred to others. Whether justice was adhered to in the original acquisition and in the subsequent exchanges is something that deserves critical examination. But one cannot pretend that the case of the falling of economic goods from on high is a usual case. Hence it seems extravagant to argue from a case in which goods have no history of attachments to human beings (the case of goods falling from on high) to the usual case in which goods have a long history of attachments to human beings.

The notion of windfall gains, which may be what Kropotkin is appealing to, is as misleading as the idea of goods falling from heaven. If an apple falls out of a farmer's tree, it is still the farmer's. To take what may be Kropotkin's example, if a gift (or an inheritance, which is a kind of gift) is unexpected, that does not make it illegitimate. If the giver was entitled to the good and then transferred it, there is no reason to be found in the gift's unexpectedness for dispossessing the recipient.

Perhaps the decisive point for Kropotkin is that the goods are to be used for the exploitation of others. Since Kropotkin's notion of exploitation seems to rely on a neo-Ricardian labor theory of value, any claims that exploitation is taking place depend on the very questionable validity of that value theory.\[^{29}\]

In a third discussion of equality, Kropotkin argues that the goods and services that we enjoy today are the product both of past generations and of present-day collective labor. Hence, according to Kropotkin, it is wrong that individuals benefit personally from what are in fact not their own creations.\[^{30}\]

But there is nothing immoral about benefiting personally from things which one does not create. If one benefits personally from something one has been given as a gift (or even benefits personally from something one sees somewhere), one may be benefiting from something one has not created oneself, but there is nothing inherently immoral about it.

Things made in the past are either gifts or items received in just exchanges or stolen goods or items taken via fraudulent exchanges. In cases of theft or fraud, there should be rectification. There is no other special problem about products made in the past.

In general, Kropotkin believes that he has found a major flaw in the justification of property rights and economic inequality. What Kropotkin is focusing on is the somewhat muddled notion that one is entitled to the fruits of one's labor. In more refined natural-right liberal theory, one owns one's own personal capacity to labor, one's own energy. If one owns an article and transforms it further using labor on it, well, one still owns it. In addition, a prospective employee may make a contract in which an employer agrees to transfer money to the employee on the condition that the employee do certain work. In this case, the employee is working on articles that belong to the employer. What the employee is entitled to is not the transformed article that he worked on. That still belongs to the employer. The employee is entitled to the wage or salary that was contractually agreed upon. (It should be noted that the theory that one has a just claim to any article one works on seems to leave out service workers, like teachers, who do not work on physical products.) Labor contracts are made every day without the parties worrying about Kropotkin's false problem of an indistinguishably collective product.\[^{31}\] The parties simply make a conditional contract: wages are trans-
ferred to the employee, if work is done for the employer.

In Kropotkin’s fourth commentary on equality, he says that communist anarchists will not “tolerate” persons using their natural assets and attributes (physical strength, mental acuity, beauty, and so forth) in any way that other persons would find annoying or upsetting to have such attributes used.[32] The idea of not tolerating persons’ making use of their own lives, bodies, and minds in ways they prefer implies either that some persons have rightful control over others or that all persons have rightful control over all persons.

Since Kropotkin is a self-proclaimed communist, we can assume that he rejects slaveholding in which some persons own others. Since he is a self-proclaimed anarchist, we can assume that he rejects the state by which some people control others, or in effect own them. But the pure communist alternative in which by right all decisions about all acts of or pertaining to any person are made by all persons, is impossible to conceive of and impossible to put into practice.

Inevitably, decision-making power is delegated to others or others claim it has been delegated to them. What Michels called the “iron law of oligarchy” takes over in the life of organized activity in the communist society.[33] The core of the political state has then been reintroduced in the anarchist commune, and some persons again control others, or in effect own them.

The only other conceivable alternative is that of natural-rights liberalism, in which persons own themselves in the sense that women are said by feminists to own their own bodies.[34] Persons are entitled to their natural assets and attributes. These assets and attributes are not something that was stolen from someone else or obtained through some other illegitimate process.[35]

Kropotkin himself stated that the most important criterion in the evaluation of all modern ethical systems is the presence or absence of fetters on individual initiative. He explicitly ruled out fettering individual initiative for the welfare of the society or the species.[36] It seems at least likely that Kropotkin’s prohibition on communist grounds of persons making use of their natural assets in ways that others find upsetting will not survive scrutiny according to Kropotkin’s own standards for judging ethical systems.

In contending that justice is equal rights, that justice is necessary to social life, and that equity includes economic equality, Kropotkin was discussing what one was morally obliged to do. But like Spencer, Kropotkin distinguished between moral duty and supererogatory acts.[37]

While maintaining that recognition of equal rights is a duty and a necessity, Kropotkin also maintained that “if each man practised merely the equity of a trader, taking care all day long not to give others anything more than he was receiving from them, society would die of it”.[38]

Packed away in this assertion of Kropotkin’s are two notions which deserve to be brought out and examined closely. First of all, Kropotkin assumes that in trade, equivalents are exchanged. But since the Marginalist Revolution of the late 19th century, economists have rejected objective value theories in favor of a subjective one in which each party to an exchange trades because he believes he will get something more valuable to him in return. In terms of each party’s own preferences, equivalents are being exchanged.

Secondly, Kropotkin seems to be saying here that if all persons in a society traded with each other nonfraudulently this would destroy society. Over the long run unfraudulent trade will lead to the suicide of society.

Let us try to spell out what Kropotkin may mean. According to Kropotkin’s objective theory of value, traders are exchanging only equivalents. Hence, no gain in welfare comes from trading. According to Kropotkin, society can make progress only when some persons magnanimously devote themselves to discovering new ideas, inventing new techniques, or helping others above and beyond the call of duty. If only honest trade occurs, society will die, for in the face of challenges from a changing environment, society can succeed, progress, and develop only through acts of self-sacrifice. However, Kropotkin never shows satisfactorily why economic development and

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We have seen that Kropotkin's account of what constitutes the public good depends heavily on what the supposed instinct of sociability and mutual aid tells us, on the moral duty to institute equality across the board, and on the desirability of making sacrifices to meet the needs of or bring improvements to others. There are problems with each of these elements. Kropotkin has trouble distinguishing between the role of reason and the role of instinct in ethical discourse. Also he tells us to look to instinct as the basis of obligation and for answers to what seem to be ethical dilemmas. In fact, the voice of instinct is not unambiguous on ethical questions. If it were clear and compelling, we would not have the ethical problems we do.

Furthermore, Kropotkin's ideas about man's natural inclinations toward socially compatible and mutually co-operative behavior can support more than one ethical system. For example, laissez-faire liberals have made use of the same notions.

Modern-day classical liberal Ludwig von Mises writes:

The core of liberal social theory is the theory of the division of labor. ... Society is the union of human beings for the better exploitation of the natural conditions of existence; in its very conception it abolishes the struggle between human beings and substitutes the mutual aid which provides the essential motive of all members united in an organism. Within the limits of society there is no struggle, only peace. Every struggle suspends in effect the social community. Society, as a whole, as organism, does fight a struggle for existence against forces iminal to it. But inside, as far as society has absorbed individuals completely, there is only collaboration. For society is nothing but collaboration. ... The only theory which explains how peace is possible between individuals and how society grows out of individuals is the liberal social theory of the division of labor. 198

Another modern-day classical liberal, Murray N. Rothbard, writes:

The free market ... is precisely the diametric opposite of the "jungle" society. The jungle is characterized by the war of all against all. One man gains only at the expense of another, by seizure of the latter's property. With all on a subsistence level, there is a true struggle for survival, with the stronger force crushing the weaker. In a free market, on the other hand, one man gains only through serving another, though he may also retire into self-sufficient produc-
tion at a primitive level if he so desires.

It is precisely through the peaceful cooperation of the market that all men gain through the development of the division of labor and capital investment. To apply the principle of the "survival of the fittest" to both the jungle and the market is to ignore the basic question: Fitness for what? The "fit" in the jungle are those most adept at the exercise of brute force. The "fit" on the market are those most adept in the service of society ...

The free market ... transmutes the jungle's destructive competition for meager subsistence into a peaceful co-operative competition in the service of one's self and others. In the jungle, some gain only at the expense of others. On the market, everyone gains. It is the market — the contractual society — that wrests order out of chaos, that subdues nature and eradicates the jungle, that permits the "weak" to live productively, or out of gifts from production, in a regal style compared to the life of the "strong" in the jungle. 401

Not only are there alternative notions of what socially cooperative behavior is in man, but, as we have seen, there are major difficulties with Kropotkin's sketchy discussion of equality. At times Kropotkin seems to believe that super-abundance and the abolition of economic scarcity will solve the problem of remuneration of labor. 401 But prices have not yet fallen to zero so we know that resources are still scarce. 402

Kropotkin wanted to build a stateless society on equality of possessions, mutual service, and a morality of increased self-sacrifice. But it can be argued that Kropotkin failed to be clear about the concepts he used and failed to avoid self-contradiction in his theory of communist-anarchism.

What can be said about the institutions of Kropotkin's communist society? Kropotkin proposed a society of small-scale territorial communes. Yet Kropotkin's goal of across-the-board equality may easily be undermined by separate decision-making in each township on distribution of goods. 403 Communes will be in different locations and find themselves with different assets. Do they have a property right, in some sense, to these assets? Kropotkin himself accepts temporary inequality between town and country after the revolution. 404 What is the proper territorial size for communes? What is the proper population size for communes? We receive no answer from Kropotkin.
We can only guess from Kropotkin’s admiration for the medieval city-states that he has something like them in mind when he talks about free communes. But these medieval cities had governments. The guilds that Kropotkin admired and that dominated the commercial life of these cities were adjuncts of the governmental apparatus.

Surely there is at least some danger that Kropotkin’s free communes will follow the iron law of oligarchy. The Utopian dream of participatory decision-making by consensus seems unlikely to survive the harsh realities of organizational life. In short order, the commune, which has expropriated all land, factories, dwellings, food, and clothing, will be run in practice by a few persons.

This situation in which social ownership of all capital and goods is combined with oligarchic rule will turn the free communes into communist states. The illegitimacy of private property makes it very costly to secede and makes independent life outside a commune well nigh impossible. Perhaps at the point of transformation of free communes into communist states, the communist-anarchists will harren to the prophetic words of Proudhon’s critique of communism:

Private associations are sternly prohibited, in spite of the likes and dislikes of different natures, because to tolerate them would be to introduce small communities within the large one, and consequently private property; the strong work for the weak, although this ought to be left to benevolence, and not enforced, advised, or enjoined; the industrious work for the lazy, although this is unjust; the clever work for the foolish, although this is absurd; and finally, man — casting aside his personality, his spontaneity, his genius, and his affections — humbly annihilates himself at the feet of the majestic and inflexible Commune.

APPENDIX ON THE LIBERTARIAN NOTION OF THE PUBLIC GOOD

Contemporary natural-rights liberals would begin any discussion of the public good with prior consideration of the highest good for man. The highest good (sumnum bonum) is an end which all men share in common. This end is leading a truly happy life. Real happiness can be a goal common to Robinson Crusoe shipwrecked on a desert island and to an inhabitant of London.

The public good is, according to natural-rights liberals, that which all men have as an end while they live in the company of others. The highest good is happiness (Aristotle’s eudaemonia); in society, the primary public good is liberty. Liberty is below happiness in the hierarchy of values. But when one is living amongst other human beings, liberty is necessary to the achievement of happiness. Without liberty one cannot live virtuously, one cannot strive for the highest good. Natural-rights liberal Tibor Machan writes:

In so far as political liberty is something which is a universal condition (if it were to exist), it does seem to be common to all those within a social organization or community. Political liberty is the absence of interference with one’s efforts to lead one’s life in peace. It is not being free of interference when one is himself attacking others or otherwise violating their human rights to life, liberty, and property. Thus the claim really amounts to holding up freedom from aggression as something that is of value, benefit, and interest to every person, even to one who would rather not enjoy it . . .

But, then, no one is claiming that political liberty is recognized as a universal, common, collective good; if it were so, the political systems throughout the world would be very different. The point is simply that, in fact, the appropriate condition of social existence is political liberty: it is something which can be secured for everyone without discrimination and all people have an implicit stake in it for purposes of running their lives. While bread and butter, or Cadillacs, or golf courses, or men’s clubs are not good for everyone — since not all people are interested in them or talented or situated so as to make use of them — political liberty is the condition which permits each member of society to pursue his own interests to the best of his will and ability. Political liberty is a real possibility because people can refrain from interfering with another’s life. It is the fact that people are ultimately free to choose their way of living (within physical limits) that makes political liberty more than just an empty ideal. It makes possible when legally instituted, the flourishing of each man as a self-responsible being; this in turn renders it of value to everyone without exception.

Other supposed public goods, such as conservation, simply do not have the universal character that liberty has.

The only institutional arrangement which is appropriate to liberty is property in one’s own will, body, life, and honestly-acquired material goods. One’s liberty is based on one’s self-ownership and on property rights. Thus liberal James Tyrrell argued in the 17th century that there was no reason to believe that “a man either could, or ought to neglect his own preservation and true happiness”. The policy which Tyrrell ther institution of prople of persons [was] medium to the cot

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which Tyrrell then deemed necessary was the institution of property rights: "The constitution of a distinct property in things, in the labor of persons [was] the chief and necessary medium to the common good."\[^{51}\]

With the institution of property rights securing liberty, all attacks upon the public good manifest themselves as attacks on particular individuals' rights. Society is simply a relationship among persons. Society per se does not have an interest or a good. Relationships cannot possess interests.\[^{52}\] Only persons (including persons in relationships) can possess interests, goods or rights. Liberty is the primary and overriding public good. No one can legitimately attempt to advance any other possible public or private good by violating liberty and property rights. Force can legitimately be used to defend liberty.

Hobbes argued that the public interest was best served by a monarch whose private interest lay in securing the public interest.\[^{53}\] Individualist-anarchists whose anarchism is derived from the natural-rights liberal tradition argue that private law enforcement agencies have the unity of interest that Hobbes thought the king would have.

In brief, once the requisite critical mass of persons accepts libertarian ideas of justice, individual rights will be defended against those who would violate them. Persons will make use of the division of labor in protecting rights. Private law enforcement agencies will arise. These private agencies gain customers in proportion to their reputation for upholding the public good of liberty. Furthermore, there is a more direct link between the income of private law enforcement agencies and their performance than there is between the income of dynastic monarchs and their performance. Finally, kings may well gain war against other kings, but private agencies are subject to the discipline of the market where violence and turmoil are considered bad business. Violence breaks up the socially cooperative network of market transactions. Thus private enforcement of public law is led as if by an invisible hand to strive for the peaceful resolution of disputes.

While this sketch by no means exhausts what could be said about the libertarian notion of the public good, it shows that there is available an approach to the public good which allows for the individual initiative that Kropotkin wants to preserve without falling prey to the contradictions in Kropotkin's communist-anarchism.

**NOTES**

3. *Revolutionary Pamphlets*, p. 84.
4. *Revolutionary Pamphlets*, p. 84.
17. *Ethics*, p. 234. See also p. 252.
24a. On the difference between equal shares and distribution according to needs, see Hugo Adam Bedau, "Egalitarianism and the Idea of Equality", in J. Roland Pen- nock and John W. Chapman, eds., *Nomos IX: Equality* (New York: Atherton, 1967), pp. 11–12. At times, Kropotkin seems to believe that distribution according to needs would receive unanimous approval. See *Selected Writings*, p. 185. This unrealistic assumption allows him to neglect a detailed defense of this mode of distribution.
30. Ethics, p. 306.
31. On supposed joint social products from which no individual's contribution can be disentangled, see Murray N. Rothbard, Power and Market (Menlo Park, Calif.: Institute for Humane Studies, 1970), pp. 183-184; Nozick, pp. 187-188.
36. Ethics, p. 27.
37. Ethics, pp. 102, 176-177, 278-279.
38. Revolutionary Pamphlets, p. 106.
42. Rothbard, "Anarcho-Communism", in Egalitarianism, p. 123.
43. Selected Writings, p. 187.
44. Selected Writings, p. 204.
45. Selected Writings, pp. 211-214.
46. Selected Writings, p. 239.
47. Proudhon, pp. 260-261. Proudhon is talking about state communism here, but if all communist societies necessarily generate governmental rule, then Proudhon's description applies to Kropotkin's communism too.

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